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DT03 PCT/PTO 13 FEB 2003
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application

Applicant: Hauptmann, et al.

PCT Intl.

App. No.: PCT/DE99/03214

Serial No.: 09/806,415

Filed: September 30, 1999

For: **LINE TERMINATOR UNIT
FOR SUBSCRIBER LINE**

)
) I hereby certify that this paper
) is being deposited with the U.S.
) Postal Service, as express mail in
) an envelope addressed to:
) Assistant Commissioner for Patents,
) Washington, DC 20231-0001 on this
) date of January 14, 2003.
)
) Express Mail No. EV 045459511 US
)
) Registration No. _____
) Attorney for Applicant(s)

RESPONSE

Assistant Commissioner for Patents
Washington, DC 20231-0001

Sir:

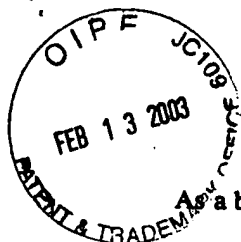
Applicant has received a Notification of Defective Response indicating that the oath or declaration of the inventors does not identify the citizenship of each inventor. Applicant hereby encloses the declaration of the inventors clearly identifying each inventor's citizenship.

Respectfully submitted,

Gerald T. Shekleton
Gerald T. Shekleton
Attorney for Applicant
Registration No. 27,466

Date: January 13, 2003

WELSH & KATZ, LTD.
120 South Riverside Plaza
22nd Floor
Chicago, Illinois 60606
(312) 655-1500



COPY

DECLARATION AND POWER OF ATTORNEY

I, a bel w named inventor, I hereby declare:

That my residence, post office address and citizenship are as stated below next to my name.

That I verily believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

the specification of which (check one)

is attached hereto.

X was filed on _____ as Application, Serial No. _____ and was amended on _____ (if applicable).

That I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

That I acknowledge the duty to disclose information known to be material to patentability of this application in accordance with Title 37, Code of Federal Regulations §1.56(a).

That I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate on this invention having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

| | | | Priority Claimed |
|-----------------------------------|-----------------------------|--|--|
| | | | <input checked="" type="checkbox"/> <input type="checkbox"/> |
| | | | Yes No |
| <u>198 44 941.0</u> (Number) | <u>Germany</u> (Country) | <u>30 September 1998</u> (Day/Month/Year Filed) | <input checked="" type="checkbox"/> <input type="checkbox"/> Yes No |
| <u>299 14 706.1</u> (Number) | <u>Germany</u> (Country) | <u>21 August 1999</u> (Day/Month/Year Filed) | <input checked="" type="checkbox"/> <input type="checkbox"/> Yes No |
| <u>PCT/DE99/03214</u> (Number) | <u>PCT</u> (Country) | <u>30 September 1999</u> (Day/Month/Year Filed) | <input checked="" type="checkbox"/> <input type="checkbox"/> Yes No |

That I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

That all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

MAR 30 2001 11:58AM

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I hereby appoint the following attorneys, with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith and request that all correspondence and telephone calls in respect to this application be directed to: WELSH & KATZ, LTD., 120 South Riverside Plaza, 22nd Floor, Chicago, Illinois 60606-3919, Telephone No.: (312) 655-1500:



| Attorney | Registration No. |
|-----------------------|------------------|
| A. Sidney Katz | 24,003 |
| Richard L. Wood | 22,839 |
| Jerrold B. Schnayer | 28,903 |
| Eric C. Cohen | 27,429 |
| Joseph R. Marcus | 25,060 |
| Gerald S. Schur | 22,053 |
| Gerald T. Shekleton | 27,466 |
| James A. Schoer | 29,434 |
| Daniel R. Cherry | 29,054 |
| Edward P. Gamson | 29,381 |
| Kathleen A. Rheintgen | 34,044 |
| Thomas W. Tolpin | 27,600 |
| Mitchell J. Weinstein | 37,963 |

I hereby authorize the U.S. attorney or agent named herein to accept and follow instructions from Reinhard Stuhra Weiss & Partner as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, I will so notify the U.S. attorney or agent named herein.

Full name of sole or one
joint inventor:

Jörg HAUPTMANN

Inventor's signature:

Jörg Hauptmann

Date:

12.04.01

Residence and Post Office Address:

Gordtschacher Str. 50

9241 Wernberg, Austria

Citizenship:

Austrian

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P. 5

5724P

Full name of sole or one
joint inventor:

Paul KUNTSCH

Inventor's signature:

✓ Paul Kuntsch

Date:

✓ April, 6, 2001

Residence and Post Office Address:

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Full name of sole or one
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Hans Werner RUDOLF

Inventor's signature:

Date:

Residence and Post Office Address:

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Citizenship:

Full name of sole or one
joint inventor:

Gerald KROTTENDORFER

Inventor's signature:

Date:

Residence and Post Office Address:

Kratochwilstr. 12/2/64

1120 Wien, Austria

Citizenship:

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COPY NO. 57 P. 5

50768

Full name of sole or one
joint inventor:

Paul KUNISCH

Inventor's signature:

Date:

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joint inventor:

Hans Werner RUDOLF

Inventor's signature:

Hans Werner Rudolf

Date:

23. April. 2001

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Full name of sole or one
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Gerald KROTTENDORFER

Inventor's signature:

Date:

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Citizenship:

COPY

5268

Full name of sole or one
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Paul KUNISCH

Inventor's signature:

Date:

Residence and Post Office Address:

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Full name of sole or one
joint inventor:

Hans Werner RUDOLF

Inventor's signature:

Date:

Residence and Post Office Address:

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Citizenship:

Full name of sole or one
joint inventor:

Gerald KROTTENDORFER

Inventor's signature:

Date:

Gerald Krottendorfer
OK. 05. 2001

Residence and Post Office Address:

Kristochwilestr. 12/2/641120 Wien, Austria

Citizenship:

Austrian

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COPY NO. 35 P. 6

5076P

Full name of sole or one
joint inventor:

Manfred PREITNEGGER

Inventor's signature:

X Manfred Preitneger

Date:

1 April 18, 2001

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Full name of sole or one
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Date:

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18735 Munchen, Germany

Citizenship:

Full name of sole or one
joint inventor:

Markus TERSCHLUSE

Inventor's signature:

Date:

Residence and Post Office Address:

Rahel-Straus-Weg 8

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Citizenship:

MAR. 30. 2001 11:58AM

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NO. 5527

P. 6

5261

Full name of sole or one
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Manfred PREITNEGGER

Inventor's signature:

Date:

Residence and Post Office Address:

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9020 Klagenfurt, Austria

Citizenship:

Full name of sole or one
joint inventor:

Rudi FRENZEL

Inventor's signature:

x Rudi Frenzel

Date:

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18735 Munchen, Germany

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Full name of sole or one
joint inventor:

Markus TERSCHLUSE

Inventor's signature:

Date:

Residence and Post Office Address:

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Citizenship:

MAR. 30. 2001 11:58AM

WELSH & KATZ LTD

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NO. 5577

P. 6

50761

Full name of sole or one
joint inventor:

Manfred PREITNEGGER

Inventor's signature:

Date:

Residence and Post Office Address:

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Citizenship:

Full name of sole or one
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Rudi FRENZEL

Inventor's signature:

Date:

Residence and Post Office Address:

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18735 Munchen, Germany

Citizenship:

Full name of sole or one
joint inventor:

Markus TERSCHLUSE

Inventor's signature:

Date:

9.9.01

Residence and Post Office Address:

Rabel-Straus-Weg 8

81673 Munchen, Germany

Citizenship:

German

MAR: 30, 2001 11:59AM

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NO. 5577

P. 7

Full name of sole or one
joint inventor:

Dirk SCHMUECKING

Inventor's signature:

Dirk Schmuecking

Date:

11.6.01

Residence and Post Office Address:

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9500 Villach, Austria

Citizenship:

GERMAN

Address for Correspondence:

WELSH & KATZ, LTD.
120 South Riverside Plaza
22nd Floor
Chicago, Illinois 60606-3913

X

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)COPY
Docket No.Applicant(s): **Haugpmann, et al.****82300**

Serial No.

09/806,415

Filing Date

September 30, 1999

Examiner

Group Art Unit

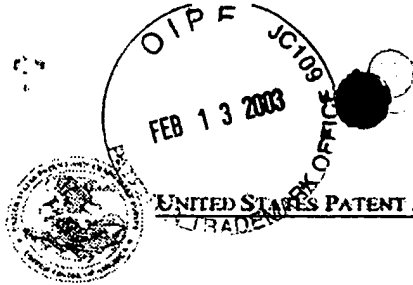
Invention: **LINE TERMINATOR UNIT FOR A SUBSCRIBER LINE**FEB 13 2003
PATENT & TRADEMARK OFFICE

I hereby certify that the following correspondence:

Response (1 page); copy of Notification of Defective Response; Declaration and Power of Attorney; and postcard.*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

January 14, 2003*(Date)***Glenn Marsa***(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)***EV 045459511 US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**



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GTB EP6

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Commissioner for Patents, Box 901
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

| | | |
|-----------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 09/806,415 | Joerg Hauptmann | 82300 |

| | |
|-------------------------------|---------------|
| INTERNATIONAL APPLICATION NO. | |
| PCT/DE99/03214 | |
| LA. FILING DATE | PRIORITY DATE |
| 09/30/1999 | 09/30/1998 |

Gerald T Shekleton
120 South Riverside Plaza
22nd Floor
Chicago, IL 60606

CONFIRMATION NO. 8688

371 FORMALITIES LETTER



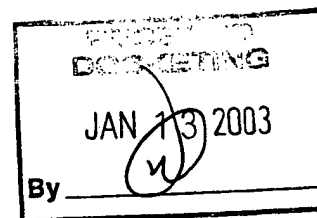
OC00000009331140

Date Mailed: 01/06/2003

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- English Translation of the IA
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments



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WELSH & KATZ, LTD.

Applicant's response filed 08/07/2001 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 05/02/2001 have not been completed.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - does not identify the citizenship of each inventor.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed

to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

COPY
*A copy of this notice **MUST** be returned with the response.*

CHARITTA A BURT

Telephone: (703) 305-3734

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 09/806,415 | PCT/DE99/03214 | 82300 |

FORM PCT/DO/EO/916 (371 Formalities Notice)